# Special Incident Reporting

San Andreas Regional Center Health Services Unit 2021

We hope this material assists you with self-training on Special Incident Reporting during the pandemic. We look forward to your participation when we can provide online sessions and can provide continuing education hours.

Katie Magleby, Risk Assessment Coord. Lisa Rund, RN Health Svcs. Assoc. Coord.

## Incident

A significant event or occurrence that is unusual or out of the ordinary requiring intervention

-required to be reported to DDS.



First, GOOD JOB to all you care providers, for the hard work you do in protecting the health of the people you serve

## Why Report Incidents?

- To satisfy Title 17 regulations
- **№ To ensure accurate** information is recorded
- To meet our professional responsibility

To provide a healthier and safer environment

### **SARC** Best Practices:

#### Available on our website

www.sanandreasregional.org Service Providers tab

#### SPECIAL INCIDENT REPORTS

- Special Incident Reports will be submitted verbally by phone and also by fax or email within 24 hours of the time of the incident.
- Special Incident Reports will include all the "types" that have been designated by DDS or San Andreas Regional Center.
- 3. All deaths must be reported to San Andreas regardless of the consumers living arrangements.
- 4. It is recommended that all providers attend SIR training annually.
- Special Incident Reporting procedures will be outlined in the agencies/facilities Program
  Design.

Revised 5/27/08

## Something happens...

What do you do?

An individual appears ill, "not herself"



An individual falls out of the wheelchair



Two individuals are fighting

- Make observations
- Get help if needed
- Gather information
- Ensure safety of all
- Communicate

Deal with the situation first

## What do you do?

### Do as you learned in training:

- First Aid
- CPR
- Behavior Plan, Restricted
   Health Condition Care Plan
- Your facility's Procedure or emergency response plan, COVID Plan

- Make sure the <u>scene is safe</u>
- Make sure the <u>person is safe</u>----
- DON'T MOVE INDIVIDUAL
   UNLESS THE SCENE IS NOT
   SAFE (in the street or in water, for example)
- Make sure others in your care are safe

Check for injury





Check for signs of illness

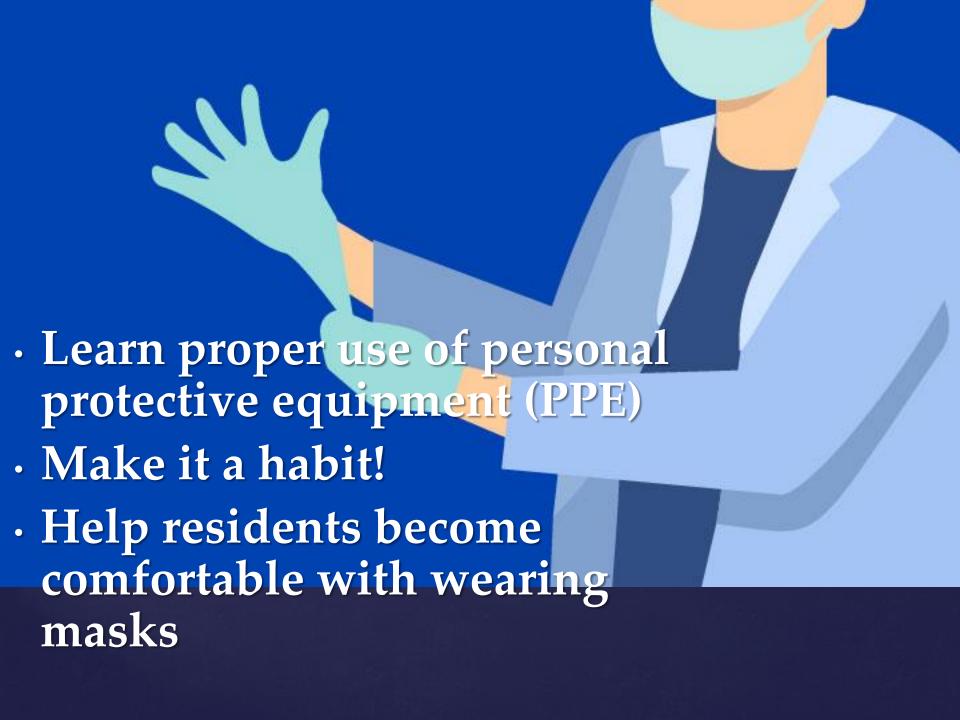




## Make sure PPE is part of your first aid kits

### For residential providers:

- Daily symptom check for COVID-19 of residents and staff (twice daily better)
- Use of PPE by staff at all times
- 25% of staff to be tested for COVID-19 every week on a rotating basis
- Comprehensive plan for COVID-19 is required, training of all staff
- Communicate and educate residents, families, and staff



#### **Personal Protective Equipment (PPE)**



Keep adequate supplies on site. Contact CCL and/or SARC for more PPE of these types

- · Licensed homes are required to keep a 30-day supply available
- Order through online sources or purchase at retailers
- SARC and CCL are able to provide limited quantities of PPE as a supplement

PPE |

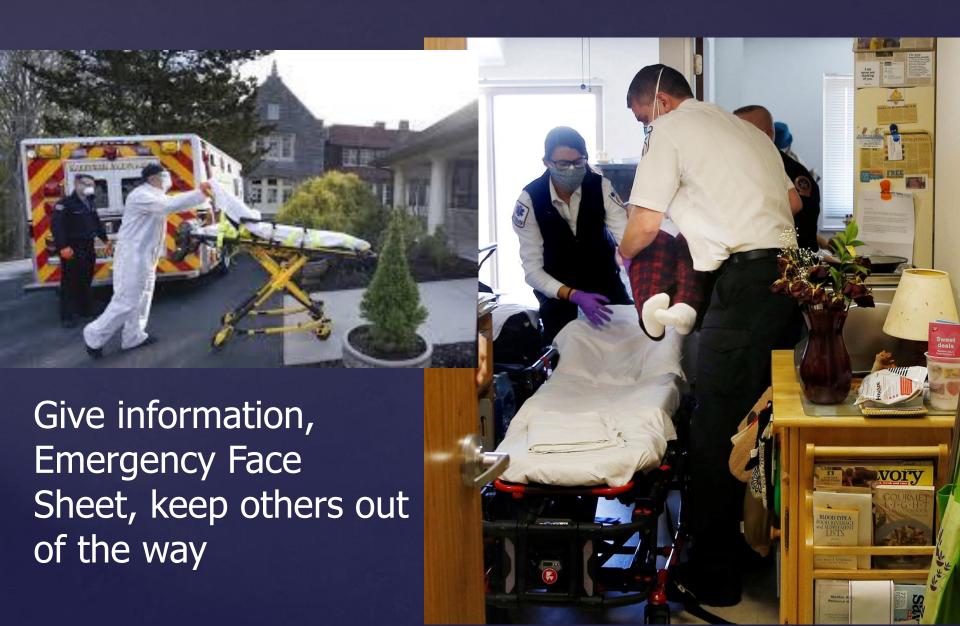
## Call for help; communicate!





Give information about your service, the people involved, how best to communicate with clients, details of the incident

### Paramedics arrive





## Emergency transport to hospital

What do YOU do?





What information can you provide to the hospital?

Who do you need to notify?

Can you stay with him/her?



- Make sure all person(s) in your care are safe
- &Notify your supervisor
- Regather the facts, make notes, take photos right afterwards if needed

- Notify conservator, family, and Service Coordinator
- kIf it's a COVID-19 positive case, notify your county Public Health Department (PHD or DPH) and the doctor

⟨Fill out a Special Incident Report (SIR)

## See SIR hard copy Handout. Fill in every section SPECIAL INCIDENT REPORT FOR ALL VENDORS TO BE E-MAILED OR FAXED TO SAN ANDREAS REGIONAL CENTER Within 24 hours of the incident

Consumer's Name: UCI #:	Date of Report: leave original date UPDATE – add new date when needed
Consumer's Address:	Date of Birth: Sex:MaleFemale
Vendor or Agency Name: Vendor number:	Service Coordinator:
Conservator/Guardian name (if applicable):	CCL Facility Number:
Name of person reporting:	Position at agency:

TYPE OF INCIDENT

(Check all that apply) Double-click in the box, then select "checked" in "Default Value"

#### Injuries Requiring Treatment Beyond First Aide

Burns that require medical treatment beyond first aide

Medication reactions

Bites that break the skin/ require treatment

Internal bleeding

Puncture wounds requiring treatment

#### Medical Need/Accident/Other:

**Fractures** 

Injury-Accident

Lacerations requiring sutures/ staples/glue **Medication Errors** 

Disease Outbreak

Injury-Unknown origin Injury from seizure

Injury from another consumer

Injury from behavior episode Choking

Other COVID-19

Condition Requiring Medical Intervention

Drug/Alcohol Abuse

**Emergency Room Visit** 

Seizures Theft by a Consumer

Community Safety

Law Enforcement Involvement

EPS-Psych Emergency Team-No Hospital Admission

Pregnancy Planned Hospitalization

Voluntary Psych Admission

#### Suspected Abuse/Exploitation

Alleged Consumer Financial Abuse

Alleged Physical Abuse

Alleged Sexual Abuse 

#### Suspected Neglect

Failure to Provision of Food/ Clothing/ Shelter

Failure to Assist in Personal Hygiene

Failure to Prevent Dehydration

Failure to Protect Health/Safety Hazards Failure to Provide Medical Care

Failure to Provide Care Elder/Adult

Failure to Prevent Malnutrition Alleged Neglect-Other

#### **Unauthorized Absence**

Missing Person Law Notified Unauthorized Absence-Law Not Notified

#### **Unplanned Hospitalizations**

Involuntary psychiatric admission Nutritional deficiencies

Cardiac Diabetes Internal infection

Respiratory illness Seizures Wound/skin care

#### Victim of Crime

Other

Aggravated assault Burglary

Larceny Personal Robbery Rape or Attempted Rape

#### **Agaressive Acts**

Aggressive act to another consumer Aggressive act to family/visitor Aggressive act to self

Aggressive act to staff Severe Verbal Threats

Suicide Attempt Suicide Threat

Other Sexual Incident

**Property Damage** Fire Setting

## Provider to select these Incident Types:

- Other: Consumer exposed, test results unknown or negative.
- Hospitalization: Consumer hospitalized due to signs/ symptoms of C19.
- Condition requiring medical intervention:

  Consumer seen by doctor or treated for C19.

Incident date	Time of incident
Definite Approximate	Definite Approximate
Date incident reported to RC	Medical Care/Treatment Required. Yes No
Relationship of alleged perpetrator to consumer Self Another Consumer Vendor or Employee of Vendor Non-Vendor or Employee of Non-Vendor Vendor	Relative/Family Member Individual known to consumer (Not a provider or another consumer) Unknown Not applicable

These 3 slides are Page 1 of Special Incident Report

## Individuals that are exposed to person who tested positive with Covid 19:

- Submit a SIR within 24 hours of getting test results/exposure
- If a Provider has several SIRs to enter, they are to send in each separately (not in a group)
- If the Provider is reporting for several individuals, they can write Description of Incident in a manner where they can cut & paste the info into each SIR (i.e. instead of using the individual's name in the narrative, state "the individual was tested on ...." ).

### **Definitions**

- "Exposure" means that you have spent 15 minutes or more within 6 feet of a person with COVID-19 illness (having symptoms and/or a positive test).
- Quarantine separates and restricts the movement of people who have been exposed to a contagious disease to see if they become sick.
- Isolation prevents the spread of an infectious disease by separating people who are sick or have tested positive from-those who are not. See handout with "Brief Definitions"

### In addition to a SIR,

There are two other forms required for the following situations-

Find these forms on

https://www.sanandreasregional.org/service-providers/special-incident-report/

1.Staff tests positive or is diagnosed with C19

"SARC Covid 19 Staff Form."

- 2.If the consumer meets any of the following 3 criteria (required in addition to the SIR):
- A consumer **tests** POSITIVE for COVID-19;
- A consumer receives medical attention at a hospital, emergency room, or urgent care clinic due to COVID-19 symptoms; or
- A consumer's **death** is related to COVID-19, either by confirmed Covid19 positive testing or by medical diagnosis unconfirmed by testing.
- → Fill out and submit "SARC COVID-19 Consumer Form" also found at

https://www.sanandreasregional.org/serviceproviders/special-incident-report/

#### Page 2

#### **Incident location**

#### (Check only one-location where it happened or was discovered)

Day program
Consumer's residence
Community setting
Home of family
In transit
Day care/ Intervention
program

Acute hospital—Emergency Room
Acute hospital—not ER
Out of home respite
Sub-acute or pediatric sub-acute
SNF
Psychiatric treatment center

Job Site
Hospice
Jail or related setting
Public school
Rehabilitation facility
Other

#### Person/Agency responsible for consumer at time of incident

Vendor
Parent/Family
Other

Residential Day Program Name:

Address:

City/Zip:

Telephone:

Vendor #

#### Other agencies notified by person/agency making this report

Community Care Licensing fax (408) 324-2133
Child Protective Services
Parent/Guardian/Conservator
Police/Law Enforcement
Coroner

DHS/DPH Licensing & Certification Adult Protective Services Long-Term Care Ombudsman Other Specify Day Program

# Description of the Incident & Who & What

&Where

&When

The SIR narrative should include: The date the consumer was exposed to person testing positive; if the C-19+ person is a staff member- the date staff last worked in the facility; is the consumer displaying any s/sx of C-19; date of consumer's C-19 test; date of test results and if they are positive or negative.

#### For COVID-19 SIR

Can you remember the details?

Try to be aware and alert. Write things down as soon as possible. Take photos if appropriate

### Describing the Incident & Thorough **&** Accurate & Clear & Grammatically correct

#### Description of the Special Incident

- After reading the Report, everyone should have the same understanding of what happened.
- Have someone else "proofread" the SIR before submitting it

# EXPLAIN HOW INFORMATION WAS ACQUIRED

Document what witnesses reported

(what occurred/possible causes)

Document Public Health and CCL contacts (phone calls, emails)

A separate SIR must be filled out for each individual that was involved- send in separate emails please

Don't draw conclusions or make judgments; do be objective

&Don't use nicknames

# Attending physician's name, findings, and treatment

- &Who treated the consumer?
- **What was the diagnosis?**
- ₩What medical care or procedure was done for the consumer?
- k Enter whatever information you have at the time and send update when you have more info

### Specific preventative action taken or planned (mitigation)

- kHow could you keep this from happening again?
- klnvolve the planning team as much as possible
- Make use of all available resources (SC, consultants, Administrator, other staff, SARC RN, family, etc)

#### For COVID-19 SIR

Under "Specific Preventative Action Taken/Planned": Provider to include the preventative measures being practiced (i.e. following CDC guidelines, following SCC Public Health guidelines, use of Personal protective Equipment, surveillance testing of staff, physical distancing, etc.). Refer to your COVID Prevention, Mitigation, & Containment Plan required by CCL and/or the business plan required by the county

### Disposition

How did it result? How is client now?

# Incident Types

TYPE OF INCIDENT

Suspected Neglect

Failure to Provision of Food/Clothing/Shelter

Failure to Assist in Personal Hygiene

Failure to Protect Health/Safety Hazards

Unauthorized Absence-Law Not Notified

Failure to Prevent Dehydration

Failure to Provide Medical Care Failure to Provide Care Elder/Adult

Failure to Prevent Malnutrition

Missing Person Law Notified

Involuntary psychiatric admission

Alleged Neglect-Other

**Unplanned Hospitalizations** 

Nutritional deficiencies

Internal infection

Wound/skin care

Aggravated assault

Personal Robbery

Rape or Attempted Rape

Aggressive act to self

Aggressive act to staff

Respiratory illness

**Unauthorized Absence** 

Cardiac

Diabetes

Seizures

Other

**Victim of Crime** 

Burglary

Larceny

**Aggressive Acts** 

Double-click in the box, then select "checked" in "Default Value" (Check all that apply)

<u>Injuries Requiring Treatment Beyond First Aide</u>

Burns that require medical treatment beyond first aide

Medication reactions Bites that break the skin/ require treatment

Internal bleeding

Puncture wounds requiring treatment

Medical Need/Accident/Other: Fractures

Injury-Accident

Lacerations requiring sutures/ staples/glue **Medication Errors** 

Disease Outbreak Injury-Unknown origin

Injury from seizure

Injury from another consumer Injury from behavior episode

Choking

Other Condition Requiring Medical Intervention Drug/Alcohol Abuse

**Emergency Room Visit** Seizures Theft by a Consumer

Community Safety

Law Enforcement Involvement

EPS-Psych Emergency Team-No Hospital Admission Pregnancy

Planned Hospitalization Voluntary Psych Admission

Alleged Sexual Abuse

Suspected Abuse/Exploitation Alleged Consumer Financial Abuse Alleged Physical Abuse

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Severe Verbal Threats Suicide Attempt Suicide Threat

Other Sexual Incident Property Damage

Fire Setting

Aggressive act to another consumer

Aggressive act to family/visitor



Epidermis Dermis Subcutaneous

Muscle



Superficial (first degree) burn



Partial thickness (second degree) burn



Full thickness (third degree) burn



### Burns

Second Degree Burn

©The Wound Doctor



### Medication Reactions

Any unexpected or dangerous reaction to a drug; an unwanted effect caused by the administration of a drug. The onset of the adverse reaction may be sudden or develop over time.





Hives – urticaria - wheal Indicate <u>allergic reaction</u>

← Swelling of lips or face indicate severe allergic reaction known as anaphyaxis
− CALL 911 immediately!

Bites that break the skin or require treatment

Report <u>any</u> human bites

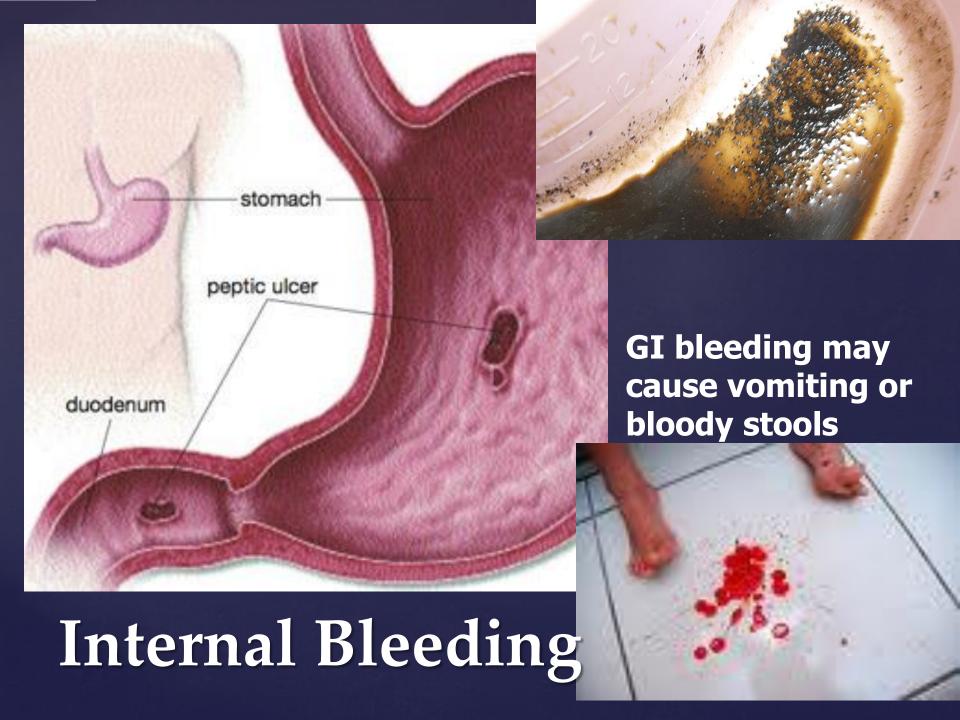
# Insect or animal bites that require treatment

Bed bug bites→



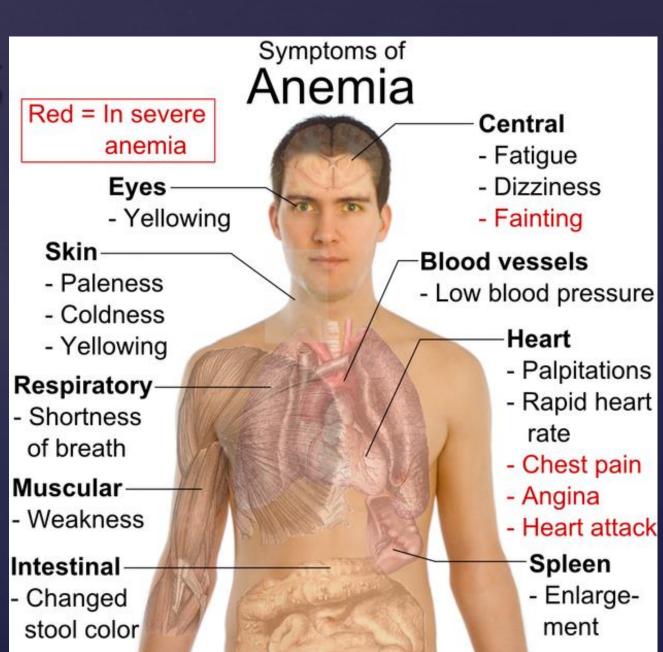


← Scabies

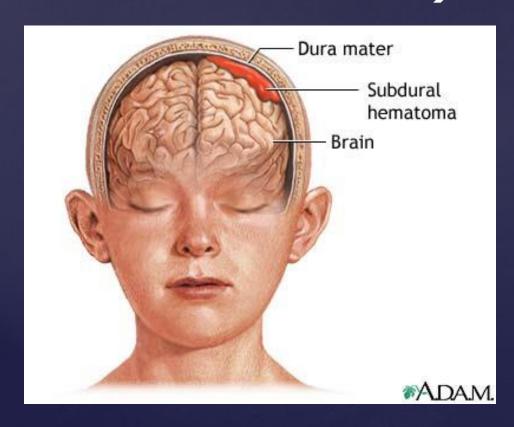


#### Internal Bleeding

Most common causes are in the GI system, usually by an ulcer. Bleeding is possible anywhere in the body, due to trauma, blood disorders, or disease



# Skull fracture and internal bleeding in brain after a fall





## Puncture wounds requiring treatment

Laceration



Puncture wound









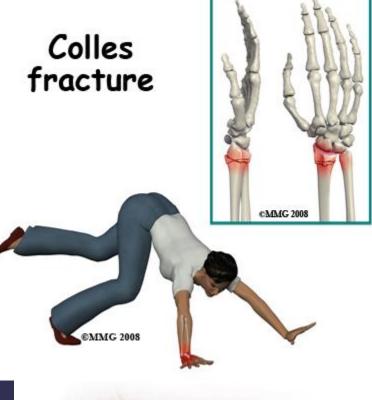




Oblique







Fracture (broken bone)



### Injury-Accident

eneral term for an injury requiring



#### Lacerations







#### **Staples**



Find out how the skin was closed and Get written instructions for care.



Skin glue



# Medication error – any of the "rights" that go wrong



Report <u>ANY</u> medication error (when any of the "Rights" go wrong)

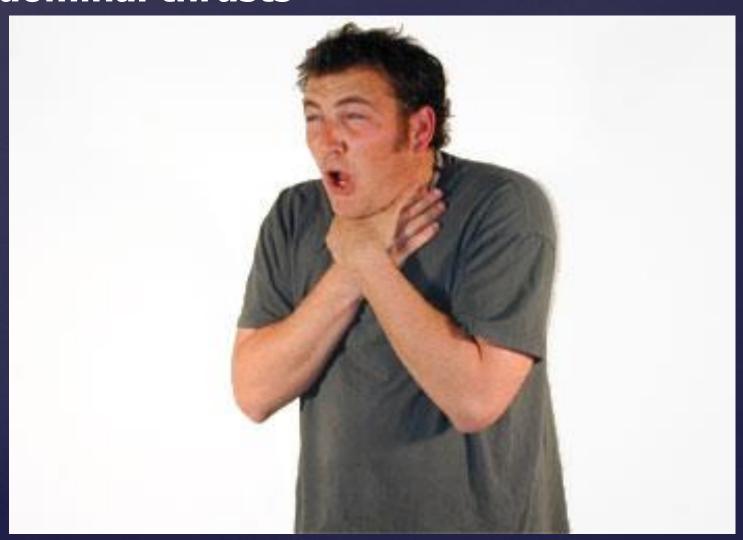
#### Medication Documentation Error (7<sup>th</sup> Right)

- ∀ou must verify whether the person actually took the medication as prescribed
- &Were all other Rights correct?
- klf so, SIR type is "Other"
- ⊌If not, SIR type is "Medication Error"

#### Medical Need/Accident/other, cont.

- klinjury injury that requires medical attention; see specific causes listed (injury = hurt, damage)

# Choking — send SIR even if person is successfully assisted with back blows & abdominal thrusts



#### "Other"

- ©Only when there is no other possible category to use
- Examples: exposure to staff tested + for COVID-19; medication refusal; med documentation error.
- ℵDo not make up your own "type"

### Condition requiring medical intervention



Such as a new diagnosis of medical condition requiring care

Examples: COVID-19; pressure sore, asthma, routine PAP smear shows cancer needing treatment; kidney failure needing dialysis; orders for hospice care

### Drug/alcohol abuse

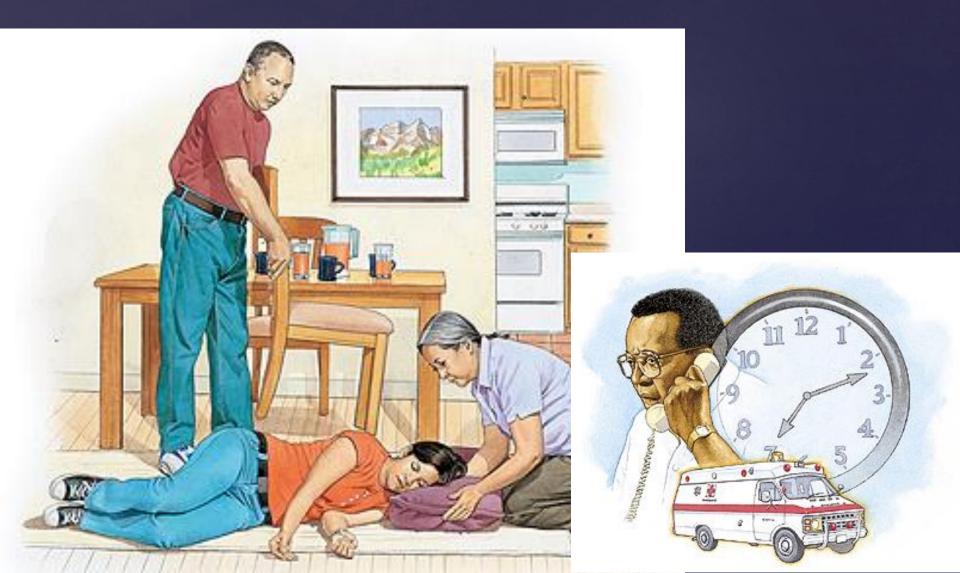


#### **Emergency Room visit**

Includes Urgent Care clinics



#### Seizures



# For expected seizures in someone with epilepsy:

The specific circumstances for sending (or not sending a SIR) for an Individual's seizures should be determined by the ID Team and documented in the IPP

Medical Need/Accident/other, cont.

- -Theft by a consumer
- Community safety
- Law enforcement involvement

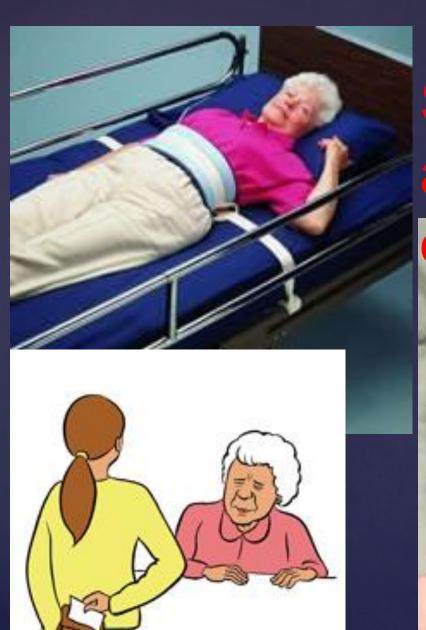
REPS – Psychiatric emergency treatment but no hospital admission

&Pregnancy



Medical Need/Accident/other, cont.

- & Planned hospitalization
- Examples: biopsy, colonoscopy, dental treatment under anesthesia
- & Voluntary psychiatric admission
- Routine lab or blood tests do not need to be reported



## Suspected abuse or



#### For suspected abuse/neglect:

k In licensed residential facility, call

Long Term Care Ombudsman: 408-944-0567

Crisis Line: 800-231-4024

kIn unlicensed setting (such as ILS or SLS), call Adult Protective Services for individual

18 or older: 800-414-2002

### For suspected abuse or neglect of someone under 18 years of age:

Call CPS (Child Protective Services) at Central County

(408) 299-2071

**North County** 

(650) 493-1186

**South County** 

(408) 683-0601

# For any type of abuse/neglect

Fill out SOC 341 form after making the call

Do not send SOC 341 to SARC – it goes to Licensing

Every incident of client-to-client physical abuse must be reported according to AB 40 requirements (see handout)

#### AB 40 requires:

kif the suspected abuse results in serious bodily injury make a telephone report to local law enforcement agency, IMMEDIATELY, and no later than within 2 hours of the reporter observing, obtaining knowledge of, or suspecting the physical abuse

### Welfare and Institutions Code

Section 15610.67.

k "Serious bodily injury" means an injury involving extreme physical pain, substantial risk of death, or protracted loss or impairment of function of a bodily member, organ, or of mental faculty, or requiring medical intervention, including, but not limited to, hospitalization, surgery, or physical rehabilitation.

# Suspected Abuse or Exploitation

- &Financial
- &Physical
- &Sexual
- &Emotional or mental
- RPhysical or chemical restraints
- & Alleged violation of rights

#### Suspected Neglect

Failure to

- &Provide food/ clothing/ shelter
- &Assist with personal hygiene
- &Prevent dehydration
- &Protect from health/safety hazards
- &Provide medical care
- &Provide care to the elderly
- &Prevent malnutrition

#### Client-on-client aggression

Must answer all of these questions on the SIR

- Is the physical aggression a behavior related to their disability, and do we have a Behavior Plan and training?
- Is the physical aggression abuse?

- If a behavior related to the ID/DD, you must provide information about the Behavior Plan, staff training, and how the Behavior Plan was implemented (or was not implemented)
- Reminder: Write a separate SIR for each individual involved
- The SIR is always about the individual, not written for a facility and not for staff.

#### **Unauthorized Absence**





Missing person
Unauthorized absence

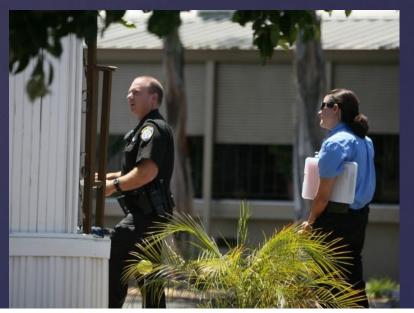






Unplanned Hospitalizations

# Involuntary Psychiatric Admission







#### MENTAL HEALTH DEPARTMENT



NEED HELP? CONTACT US 800-704-0900 SUICIDE & CRISIS 855-278-4204

http://www.sccgov.org/sites/mhd/Services/EmergencyPsychiatricServices

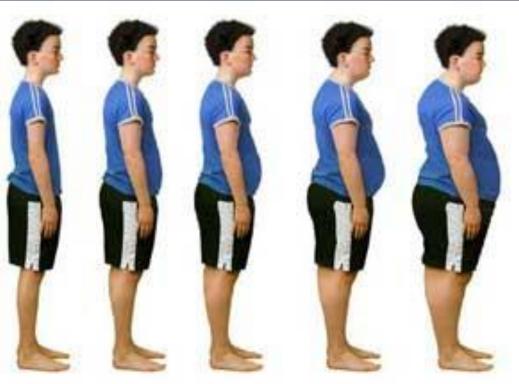
Emergency Psychiatric Services (EPS) is the only 24-hour locked psychiatric emergency room, which provides emergency psychiatric care to residents of Santa Clara County.

Mental Health Urgent Care (MHUC) operates a walk-in crisis clinic, with a psychiatrist on duty, seven days a week for those seeking voluntary services.

871 Enborg Court San Jose

#### Nutrition Deficiency





Know what normal weight range is for each individual and help achieve and maintain it.

#### Cardiac = Heart





Angiogram, heart cath, angioplasty, stent, coronary artery bypass surgery

Congestive heart failure

Blood vessel or circulation disorders

Stroke or cerebrovascular accident (CVA)



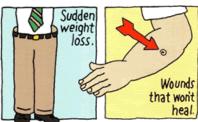
#### Diabetes



#### DIABETES KNOW THE SYMPTOMS



















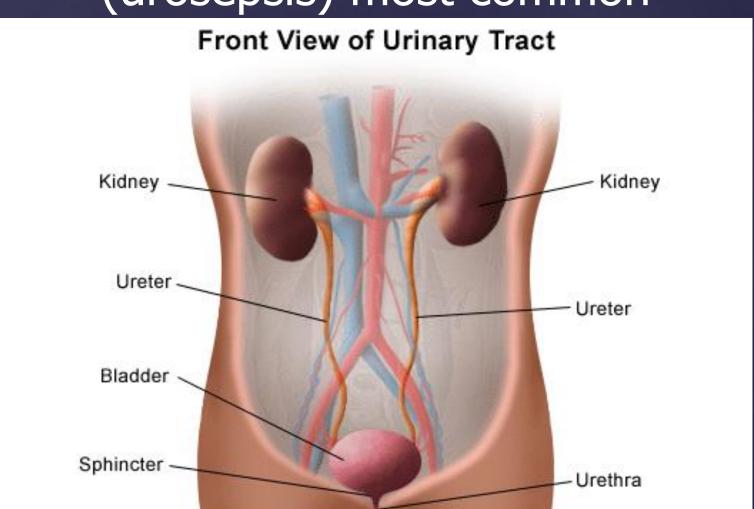
If you have any of these symptoms, see your doctor. For more information about diabetes call Eli Lilly and Company at 1-800-545-5979 or Boehringer Mannheim Corporation at 1-800-858-8072.

Provided as an educational service by Eli Lilly and Company and Boehringer Mannheim Corporation





# Internal infection Urinary tract infection (urosepsis) most common

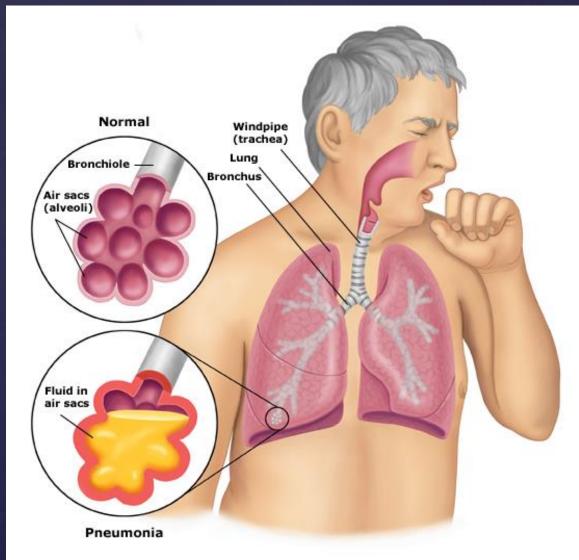


#### Respiratory





### Pneumonia, bronchitis, asthma, COPD, COVID-19



#### Seizures



Epilepsy is a disorder marked by disturbed electrical rhythms in the centra nervous system. Normal Brain Wave

Petit Mal Seizure

Grand Mal Seizure



#### Hospital - other

When person is hospitalized for conditions not listed above

#### Victim of Crime







- Aggravated Assault: a sudden, violent attack
- Burglary: breaking & entering with intent to commit a crime
- Larceny: the wrongful taking of another's possessions, stealing
- Personal robbery: taking something off the person (body)
- Rape or attempted rape-unwanted or forcible sexual assault, with or without penetration

### When a crime occurs and law enforcement is involved...

- kWhen given a card by the officer, it will have a case number, type of incident/report, and contact information of the officer
- → the "type" of incident is what should be entered on the Regional Center SIR form

Aggressive acts



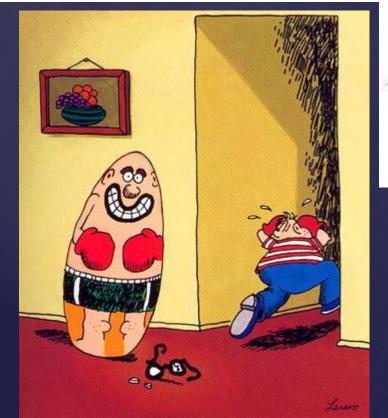




SUICIDE PREVENTION I-800-273-TALK www.suicidepreventionlifeline.org

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Substance Abuse and Mental Health Services Administrati





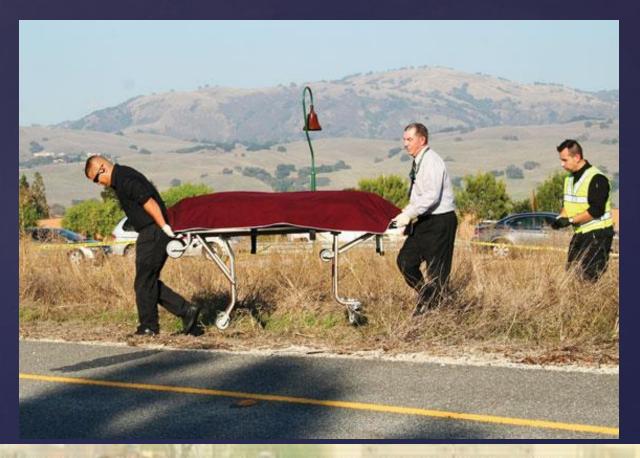


# Other types of aggressive acts include:

- &Severe verbal threats
- &Suicide attempt
- & Suicide threat
- &Other sexual incident
- **№**Fire setting
- & Aggressive act involving a weapon



#### Death – always a separate SIR





SANTA CLARA COUNTY OFFICE OF THE

SHERIFF

### Information to Include for Deaths

- &All Deaths must be reported to the Regional Center regardless of situation or circumstance
- © Document if 911 was called
- & Document if CPR was done
- kInclude any information about an autopsy
- &Contact your SC and or Manager by phone
- kIf the consumer is receiving Hospice, that agency must be notified

#### Complete Only if Incident Type is Death

Describe the circumstances of the consumer's death/nature of medical treatment and where administered

Other comments or information regarding death ( Please include all psycho-social information)

Type of Death
Disease Related
Unknown

Non-Disease Related

Accident
Abuse/Neglect

Suicide

Alleged

Suspected Substance Abuse
Catastrophic Event (Fire, Flood)

**Homicide** 

Other (specify)

#### SOC 624A

Community Care Licensing requires the licensee to submit the form SOC 624A when a resident passes away, even if the person was in the hospital

#### Incident Response

- Notify people or agencies as required
- & Check for completeness
- k Inquire into inconsistencies
- ₽ Document details
- **k**Explore causes
- & Note necessary additions or corrections
- See handout

#### Submit SIR quickly!

- &Email within 24 hours of occurrence
- ©Can fax it as an alternative, but email is the best way
- Follow instructions on the website: <a href="https://www.sanandreasregional.org">www.sanandreasregional.org</a> Click on "Service Providers" tab, then click on "Special Incident Report"

# Close the Loop: Prevention Be Prepared!

- &Staff training- scenarios of possible incidents and how to respond
- Quarterly Reports summary of data collected relating to SIRs, behavior plan, care plan
- Assess your residents and know what equipment, documents, supplies would be needed if an unexpected situation occurred



Be Prepared... the meaning of the motto is that a scout must prepare himself by previous thinking out and practicing how to act on any accident or emergency so that he is never taken by surprise.

(Robert Baden-Powell)

izquotes.com

Like the Boy Scouts, BE PREPARED.

Be prepared for incidents and accidents to happen.

Be prepared for natural disasters.

Train, train, train!

# Maintaining SIRS in Consumer File

RAII SIRS and related documentation must be kept in the consumer file

#### Ask for help if needed

SIR desk: 408-341-3440

kmagleby@sarc.org

RCall or email your Service Coordinator for assistance

### Questions?

Thank you for your time and attention!